

JUL-20-2004 TUE 04:17 PM CANTOR COLBURN LLP

FAX NO. 8602860115

P. 05

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

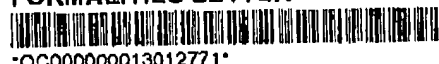
UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1500  
 Alexandria, Virginia 22315-1500  
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/815,880         | 03/31/2004             | Veera Srinivasan      | 147201-1               |

23413  
 CANTOR COLBURN, LLP  
 55 GRIFFIN ROAD SOUTH  
 BLOOMFIELD, CT 06002

CONFIRMATION NO. 9067

FORMALITIES LETTER



\*OC000000013012771\*

Date Mailed: 06/21/2004



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$216 for a Large Entity

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$86
  - \$86 for 8 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts

07/22/2004 AMONDAF1 00000110 070862 10815880  
 01 FC:1051 130.00 DA

BEST AVAILABLE COPY

JUL-20-2004 TUE 04:17 PM CANTOR COLBURN LLP

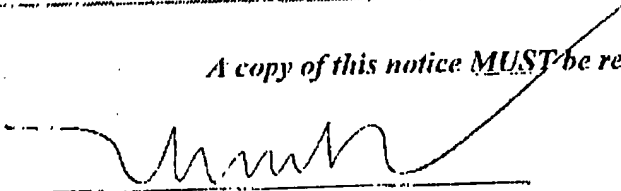
FAX NO. 8602860115

P. 06

Page 2 of 2

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice MUST be returned with the reply.*

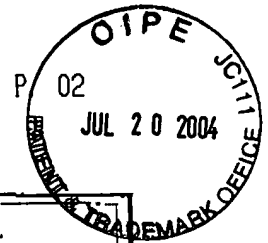


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

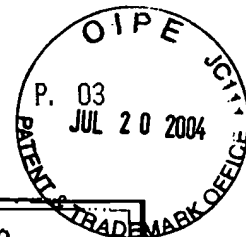
FAX NO. 8602860115



|   |                                  |   |
|---|----------------------------------|---|
| <b>Response To Notice To File Missing Parts Of Application</b><br><b>Filing Date Granted (PTO-1533)(Large Entity)</b>   |                                  | Docket No.<br>147201-1  |
| In Re Application Of: <b>Veera Srinivasan et al.</b>  |                                  |   |
| Serial No.<br><b>10/815,880</b>   | Filing Date<br><b>03/31/2004</b> | <div style="display: flex; justify-content: space-between;"> <span>Examiner</span> <span>Group Art Unit</span> </div> |
| Invention: <b>METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL) PHTHALIMIDINE MONOMERS AND POLYCARBONATES DERIVED THEREFROM</b>  |                                  |   |
| <u>Mail Stop Missing Parts</u><br><u>TO THE COMMISSIONER FOR PATENTS:</u>   |                                  |   |
| This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on<br><u>6/21/2004</u><br><small>Date</small>   |                                  |   |
| Enclosed herewith for filing are the following:   |                                  |   |
| <div style="list-style-type: none;"> <div><input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). <b>(REQUIRED)</b></div> <div><input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.</div> <div><input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63.</div> <div><input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.</div> <div><input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.</div> <div><input type="checkbox"/> Other (list):</div> <div style="border: 1px solid black; height: 150px; margin-top: 10px;"></div> </div> |                                  |   |

JUL-20-2004 TUE 04:17 PM CANTOR COLBURN LLP

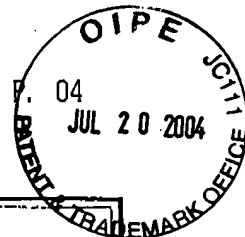
FAX NO. 8602860115

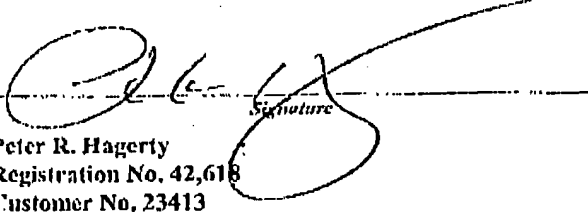



|  |                                  |                     |                               |
|--|----------------------------------|---------------------|-------------------------------|
| <b>Response To Notice To File Missing Parts Of Application</b><br><b>Filing Date Granted (PTO-1533)(Large Entity)</b>  |                                  |                     | Docket No.<br><b>147201-1</b> |
| In Re Application Of: <b>Veera Srinivasan et al.</b>   |                                  |                     |                               |
| Serial No.<br><b>10/815,880</b>  | Filing Date<br><b>03/31/2004</b> | Examiner            | Group Art Unit                |
| Invention: <b>METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL) PHTHALIMIDINE MONOMERS AND POLYCARBONATES DERIVED THEREFROM</b>   |                                  |                     |                               |
| <u>TO THE COMMISSIONER FOR PATENTS:</u><br><u>Mail Stop Missing Parts</u>  |                                  |                     |                               |
| <input checked="" type="checkbox"/> Completion of application fees as calculated below:  |                                  |                     |                               |
| <input type="checkbox"/> Utility application filing fee  |                                  |                     | _____                         |
| <input type="checkbox"/> Design application filing fee   |                                  |                     | _____                         |
| <input type="checkbox"/> Total number of independent claims = _____  |                                  |                     | _____                         |
| <input type="checkbox"/> Total number of claims = _____  |                                  |                     | _____                         |
| <input type="checkbox"/> Multiple dependent claims   |                                  |                     | _____                         |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath  |                                  |                     | <b>\$130.00</b>               |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor  |                                  |                     | _____                         |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification   |                                  |                     | _____                         |
| <input type="checkbox"/> Fee for processing and retention of application   |                                  |                     | _____                         |
| Total completion of application fees   |                                  |                     | <b>\$130.00</b>               |
| <p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.</p> |                                  |                     |                               |
| <input type="checkbox"/> One month <input type="checkbox"/> Two months <input type="checkbox"/> Three months <input type="checkbox"/> Four months <input type="checkbox"/> Five months   |                                  |                     |                               |
| from: _____  |                                  | until: _____        |                               |
| <small>Date</small>  |                                  | <small>Date</small> |                               |
| Total time extension fees  |                                  |                     | _____                         |
| Total fees due   |                                  |                     | <b>\$130.00</b>               |

JUL-20-2004 TUE 04:17 PM CANTOR COLBURN LLP

FAX NO. 8602860115



|   |                                   |   |                                |
|---|-----------------------------------|---|--------------------------------|
| <b>Response To Notice To File Missing Parts Of Application<br/>Filing Date Granted (PTO-1533) (Large Entity)</b>  |                                   |   | <b>Docket No.<br/>147201-1</b> |
| In Re Application Of: Veera Srinivasan et al.   |                                   |   |                                |
| <b>Serial No.<br/>10/815,880</b>  | <b>Filing Date<br/>03/31/2004</b> | <b>Examiner</b>   | <b>Group Art Unit</b>          |
| <b>Invention: METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL)<br/>PHTHALIMIDINE MONOMERS AND POLYCARBONATES DERIVED THEREFROM</b>  |                                   |   |                                |
| <p style="text-align: center;"><u>TO THE COMMISSIONER FOR PATENTS:</u><br/><u>Mail Stop Missing Parts</u></p>   |                                   |   |                                |
| <p>The fee of \$130.00 is to be paid as follows:</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 07-0862</p> <p><input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 07-0862</p> |                                   |   |                                |
| <br>Peter R. Hagerty<br>Registration No. 42,618<br>Customer No. 23413<br>Telephone No. 860-286-2929  |                                   | Dated: July 20, 2004  |                                |
| cc:   |                                   | <div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on 7-20-2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p><br/>Signature of Person Mailing Correspondence</p><p>VIA FACSIMILE By Rebecca L. Blake<br/>Typed or Printed Name of Person Mailing Correspondence</p></div> |                                |

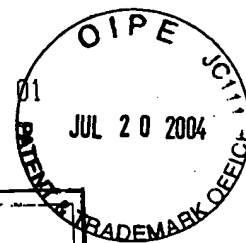
BEST AVAILABLE COPY

JUL-20-2004 TUE 04:16 PM CANTOR COLBURN LLP

FAX NO. 8602860115

P. 01

JUL 20 2004



| Serial No. |  | Filing Date | Examiner | Group Art Unit |
|------------|--|-------------|----------|----------------|
| 10/815,880 |  | 03/31/2004  |          |                |

**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s): Veera Srinivasan et al.

Docket No. 147201-1

Invention: METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL) PHTHALIMIDINE MONOMERS AND POLYCARBONATES DERIVED THEREFROM

I hereby certify that this Resp. to MP (3 pgs); Copy of Not. MP (2 pgs); Combined Declaration and POA (6 pgs) ...  
(Identify type of correspondence)

is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-746-4060)

on July 20, 2004  
(Date)

Rebecca L. Blake  
(Typed or Printed Name of Person Signing Certificate)

Rebecca L. Blake  
(Signature)

Note: Each paper must have its own certificate of mailing.